

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Tadakatsu SUZUKI :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed March 24, 2004 : Attorney Docket No. 2004-0477

LOW-REFLECTIVE THIN-FILM SUBSTRATE
(Rule 1.53(b) Continuation of Serial No.
10/368,366, Filed February 20, 2003)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 was previously cited by or submitted to the Patent Office in prior parent application Serial No. 09/691,246 and 09/125,777.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office Action
or a Notice of Allowance or an action which otherwise closes prosecution in the application,
and thus:

(1) the certification of paragraph 2 below is provided, **or**
(2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which
otherwise closes prosecution in the application, and prior to payment of the issue fee, and
thus:
the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. that each item of information contained in this Information Disclosure Statement
was first cited in any communication from a foreign patent office in a counterpart
foreign application not more than three months prior to the filing of the Statement, or

b. that no item of information contained in the Information Disclosure Statement was
cited in a communication from a foreign patent office in a counterpart foreign
application and, to the knowledge of the person signing the certification after
making reasonable inquiry, was known to any individual designated in §1.56(c)
more than three months prior to the filing of the Statement.

3. Consideration of the following list of additional information (including any copending or
abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. [] a full or partial English language translation submitted herewith,
- b. [X] a foreign patent office search report (in the English language) of record in Serial No. 09/125,777,
- c. [] the concise explanation contained in the specification of the present application at page ,
- d. [] the concise explanation set forth in the attached English language abstract,
- e. [] the concise explanation set forth below or on a separate sheet attached to the reference:

5. [X] A foreign patent office search report citing one or more of the references is of record in Serial No. 09/125,777.

Respectfully submitted,

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FORM PTO 1449 (<i>modified</i>) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i> Date Submitted to PTO: March 24, 2004			ATTY DOCKET NO. 2004-0477		SERIAL NO. NEW		
			APPLICANT Tadakatsu SUZUKI				
			FILING DATE March 24, 2004		GROUP		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US-4712874	12/1987	Sekimura et al.			
	AB	US-4802743	2/1989	Takao et al.			
	AC	US-5083853	1/1992	Ueki et al.			
	AD	US-5142392	8/1992	Ueki et al.			
	AE	US-5714268	2/1998	Anderson et al.			
	AF						
	AG						
	AH						
	AI						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	JP-07267681-A	10/1995	Japan			
	AK	JP-06299354-A	10/1994	Japan			
	AL	JP-01142712-A	6/1989	Japan			
	AM						
	AN						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AO						
	AP						
	AQ						
EXAMINER				DATE CONSIDERED			

**EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.*